

Conflict of Interest Code
of the

LOCAL INITIATIVE HEALTH AUTHORITY FOR LOS ANGELES COUNTY
(L.A. CARE HEALTH PLAN)

Incorporation of FPPC Regulation 18730 (2 California Code of Regulations, Section 18730) by Reference

The Political Reform Act (Government Code Section 81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730), which contains the terms of a standard conflict of interest code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, are hereby incorporated into the conflict of interest code of this agency by reference. This regulation and the attached Appendices (or Exhibits) designating officials and employees and establishing economic disclosure categories shall constitute the conflict of interest code of this agency.

Place of Filing of Statements of Economic Interests

All officials and employees required to submit a statement of economic interests shall file their statements with the General Counsel of L.A. Care Health Plan, or his or her designee. L.A. Care Health Plan shall make and retain a copy of all statements filed by its Board Members and its Chief Executive Officer and forward the originals of such statements to the Executive Office of the Board of Supervisors of Los Angeles County.

L.A. Care Health Plan shall retain the originals of statements for all other Designated Positions named in the agency's conflict of interest code. All retained statements, original or copied, shall be available for public inspection and reproduction (Gov. Code Section 81008).

Conflict of Interest Code

**LOCAL INITIATIVE HEALTH AUTHORITY FOR LOS ANGELES COUNTY
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CATEGORY 1

Persons in this category shall disclose all interests in real property in Los Angeles County if the property or any part of it is located within or not more than two miles outside the boundaries of Los Angeles County or within two miles of any land owned or used by the Agency.

Persons are not required to disclose a residence, such as a home or vacation cabin, used exclusively as a personal residence; however, a residence in which a person rents out a room or for which a person claims a business deduction may be reportable.

CATEGORY 2

Persons in this category shall disclose all investments, income (including loans, gifts, and travel payments) and business positions with any of the following:

- a. Plan Partners (health plans under contract with the Agency), health care providers or other businesses under contract with or under consideration to contract with the Agency;
- b. Businesses engaged in the delivery of health care services or supplies, or services or supplies ancillary thereto of a type to be provided or arranged for by the Agency;
- c. Businesses that manufacture, provide, or sell services, supplies, materials, machinery or equipment of a type purchased or leased by the Agency; and
- d. Businesses subject to the regulatory, permitting or licensing authority of the Agency.

CATEGORY 3

Persons in this category shall disclose all business positions, investments in, or income (including loans, gifts, and travel payments) received from businesses that manufacture, provide or sell services and/or supplies of a type utilized by the agency and associated with the job assignment of designated positions assigned this disclosure category.

Conflict of Interest Code

**LOCAL INITIATIVE HEALTH AUTHORITY FOR LOS ANGELES COUNTY
(L.A. CARE HEALTH PLAN)**

EXHIBIT “B”

<u>Designated Positions</u>	<u>Disclosure Categories</u>
Board Members	1, 2
Chief Executive Officer	1, 2
General Counsel	1, 2
Chief Medical Officer	1, 2
Chief Operating Officer	1, 2
Chief Financial Officer	1, 2
Senior Executive Advisor Digital	2
Chief Compliance Officer	1, 2
Chief of Human Resources	1, 2
Chief Pharmacy Officer	2
Chief Information and Technology Officer	1, 2
Chief Product Officer	2
Chief Quality and Information Executive	2
Chief of Staff	1, 2
Senior Manager, Community Resource Centers	1, 2
Executive Directors	2
Senior Director, HITEC-LA	2
Senior Director, Facilities Services	1, 2
Clinical Operations Executive	2

Conflict of Interest Code

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EXHIBIT “B”

<u>Designated Positions</u>	<u>Disclosure Categories</u>
Any Attorney Position within the Legal Services Department	1, 2
Deputy Chief Medical Officer	2
Senior Director, Contracting and Procurement	1, 2
Senior Manager, Procurement Operations	1, 3
Director, Health Information Technology Outreach and Education	3
Senior Director, Health Information Exchange Initiatives	2
Director, Health Information Technology Marketing and Strategic Initiatives	3
Senior Directors (including Chief Actuary)	2
Directors (including Privacy Officer and Controller)	3
Senior Managers	3
Manager, Contracts (Accounting)	1, 3
Managers	3
Manager, Sourcing and Procurement	1, 3
Buyer	1, 3
Chief of Communications and Community Relations	1, 2
Consultants or New Positions*	

Conflict of Interest Code

**LOCAL INITIATIVE HEALTH AUTHORITY FOR LOS ANGELES COUNTY
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EXHIBIT “B”

*Consultants/New Positions¹

Consultants/New Positions Who Make Governmental Decisions

Consultants or new positions who make (not just recommend) governmental decisions, such as whether to approve a rate, rule, or regulation; issue, deny, suspend, or revoke any permit, license, application, certificate or similar authorization; adopt or grant Agency approval to a plan, design, report, or study; or adopt or grant Agency approval of policies, standards, or guidelines for the Agency or any subdivision thereof shall disclose pursuant to the broadest disclosure category in the code (i.e., Disclosure Categories 1 and 2). However, if the Chief Executive Officer or his or her designee, determines in writing that a particular consultant or new position is not required to fully comply with the requisite disclosure requirements, then such written determination shall include a description of the consultant’s or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Chief Executive Officer’s determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code. (Gov. Code Section 81008.)

Consultants/New Positions Who Serve in a Staff Capacity

Consultants or new positions who serve in a staff capacity with the Agency, and in that capacity participate in making a governmental decision by providing information, an opinion, or a recommendation for the purpose of affecting the decision without significant intervening substantive review shall disclose at the same level as a comparable designated position in the same or similar Agency Department identified elsewhere in this Code.

Consultants/New Positions Who Perform the Same or Substantially All the Same Duties as a Comparable Designated Position

Consultants or new positions who perform the same or substantially all-the same duties for the Agency that would otherwise be performed by an individual holding a designated position in this Code shall disclose at the same level as the comparable designated position identified elsewhere in this Code.

¹ When the consultant is a corporation or partnership, only individuals within the corporation or partnership who fit into one of the three categories of “Consultants/New Positions” must file disclosure statements.

EFFECTIVE: 9/29/2021