

Conflict of Interest Code
of the

LOCAL INITIATIVE HEALTH AUTHORITY FOR LOS ANGELES COUNTY
(L.A. CARE HEALTH PLAN)

Incorporation of FPPC Regulation 18730 (2 California Code of Regulations, Section 18730) by Reference

The Political Reform Act (Government Code Section 81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730), which contains the terms of a standard conflict of interest code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, are hereby incorporated into the conflict of interest code of this agency by reference. This regulation and the attached Appendices (or Exhibits) designating officials and employees and establishing economic disclosure categories shall constitute the conflict of interest code of this agency.

Place of Filing of Statements of Economic Interests

All officials and employees required to submit a statement of economic interests shall file their statements with the General Counsel of L.A. Care Health Plan, or his or her designee. L.A. Care Health Plan shall make and retain a copy of all statements filed by its Board Members and its Chief Executive Officer and forward the originals of such statements to the Executive Office of the Board of Supervisors of Los Angeles County.

L.A. Care Health Plan shall retain the originals of statements for all other Designated Positions named in the agency's conflict of interest code. All retained statements, original or copied, shall be available for public inspection and reproduction (Gov. Code Section 81008).

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EXHIBIT "A"

CATEGORY 1

Persons in this category shall disclose all interests in real property in Los Angeles County if the property or any part of it is located within or not more than two miles outside the boundaries of Los Angeles County or within two miles of any land owned or used by the Agency.

CATEGORY 2

Persons in this category shall disclose all investments, income (including loans, gifts, and travel payments) and business positions in:

- a. Plan Partners (health plans under contract with the Agency), health care providers or other businesses under contract with or under consideration to contract with the Agency.
- b. Businesses engaged in the delivery of health care services or supplies, or services or supplies ancillary thereto of a type to be provided or arranged for by the Agency;
- c. Businesses that provide services, supplies, materials, machinery or equipment of a type purchased or leased by the Agency;
- d. Businesses subject to the regulatory, permitting or licensing authority of the Agency;
- e. Businesses that have contracts or otherwise conduct business with Plan Partners.

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CATEGORY 3

Persons in this category shall disclose all business positions, investments in, or income (including loans, gifts, and travel payments) received from businesses that manufacture, provide or sell services and/or supplies of a type utilized by the agency and associated with the job assignment of designated positions assigned this disclosure category.

CATEGORY 4

Any consultant who performs the functions of a Designated Employee shall be required to file Statements of Economic Interests disclosing reportable interests in the categories assigned to that designated position.

In addition, disclosure of consultants shall be determined on a case-by-case basis by the Chief Executive Officer. The Chief Executive Officer may make a determination as to what disclosure, if any, is required by any particular consultant.

(* See footnote for clarification.)

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EXHIBIT “B”

<u>Designated Positions</u>	<u>Disclosure Categories</u>
Board Members	1, 2
Chief Executive Officer	1, 2
Chief of Staff	1, 2
General Counsel	1, 2
Chief Medical Officer	1, 2
Chief Operating Officer	1, 2
Chief Financial Officer	1, 2
Chief Information Officer	1, 2
Chief of Human and Community Resources	1, 2
Chief of Strategy, Regulatory and External Affairs	1, 2
Senior Executive Director, HITEC–LA	2
Associate Counsel	2
Director of Outreach and Education, HITEC–LA	3
Director of EHR Technology – HITEC-LA	3
Program Director of Marketing and Communications – HITEC-LA	3
Senior Directors (including Assistant General Counsel, Chief Actuary, and Medical Director)	2

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EXHIBIT “B”

<u>Designated Positions</u>	<u>Disclosure Categories</u>
Directors (including Compliance Officer and Controller)	3
Senior Managers	3
Managers (including Privacy and Information Security Officer)	3
Senior Project Manager to CEO	3
Director, Services for Seniors and People with Disabilities	2
*Consultant /or New Position	4

*Consultant/ or New Position are included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitations:

The Chief Executive Officer, or his or her designee, may determine in writing that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with disclosure requirements in this section. Such written determination shall include a description of the consultant’s or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Chief Executive Officer or his or her designee’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code. (Gov. Code Section 81008.)

EFFECTIVE: 10/30/2013